Henry+Horne Wealth Management

FACTS	WHAT DOES HENRY + HORNE WEALTH MANAGEMENT ("HHWM") DO WITH YOUR PERSONAL INFORMATION?
Why?	The personal information we collect is necessary to help us provide informed investment management and financial planning advice. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: • Name, Address, Social Security number and employment • Income, assets, net worth, account balances, and investment activity • Risk tolerance, investment experience, and retirement assets • Accounts at other institutions When you are no longer our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons HHWM chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does HHWM share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	Yes
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes— information about your creditworthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share

To limit our sharing	Please call 480-483-3489 or complete and mail the form at the end of this notice. Please note: If you are a new customer, we can begin sharing your information 30 days from the date you received this notice. When you are no longer our customer, we may continue to share your information as described in this notice. However, you may contact us at any time to limit our
Questions?	Call 480-483-3489 or visit us online at www.hh-wm.com

Who we are

Who is providing this notice?	Wealth Management, LLC d/b/a Henry + Horne Wealth Management (“**HHWM**”)

What we do

How does HHWM protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safegaurds, secured files and buildings, procedural safegaurs and safe record storage. We will continue to review and modify our security controls in the future to deal with changes in products, services, and technology.
How does HHWM collect my personal information?	We collect your personal information, for example, when you • enter into investment advisory contract; and • seek financial advice. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can’t I limit all sharing?	Federal law gives you the right to limit only • sharing for affiliates’ everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates:	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates:	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • Non-affiliates we share with can include companies such as vendors, and other service providers.
Joint marketing:	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Mail-in Form

☐ Apply choices only to me.
☐ Apply choices to everyone on my accounts.
☐ Apply choices to all my accounts

Check any/all you want to limit:

☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
☐ Do not allow your affiliates to use my personal information to market to me. *(I will receive a renewal notice for this use for marketing in 5 years.)*
☐ Do not share my personal information with non-affiliates to market their products and services to me.

Your name(s)	
Your address	
Your email	

Mail to:

H+H Wealth Management
Attn: Compliance
7098 E. Cochise Road
Suite 222
Scottsdale, AZ 85253